



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/843,392	04/26/2001	Anthony R. Lettich	32887-257417

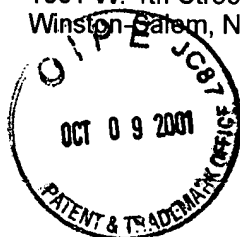
CONFIRMATION NO. 3573

## FORMALITIES LETTER



\*OC000000006787044\*

Charles W. Calkins  
Kilpatrick Stockton LLP  
1001 W. 4th Street  
Winston-Salem, NC 27101



Date Mailed: 09/25/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 08/09/2001 to the Notice to File Missing Parts (Notice) mailed 06/21/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

EXPRESS MAIL NO. **894955690**

PART 2 - COPY TO BE RETURNED WITH RESPONSE



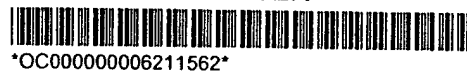
EXPRESS MAIL NO. 894955690  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/843,392	04/26/2001	Anthony R. Lettich	32887-257417

CONFIRMATION NO. 3573

FORMALITIES LETTER



\*OC000000006211562\*

Charles W. Calkins  
Kilpatrick Stockton LLP  
1001 W. 4th Street  
Winston-Salem, NC 27101

Date Mailed: 06/21/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

EXPRESS MAIL NO. 894955160



Express Notice Certificate No. EL 894 955 690 US  
32887-257417 (Patent)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Anthony R. Lettich, et al.  
Serial. No.: 09/843,392  
Filing Date: April 26, 2001  
For: Vertical Systems and Methods for Providing Shipping and Logistics  
Services, Operations and Products to an Industry

ASSISTANT COMMISSIONER FOR PATENTS

Attention: Box Missing Parts  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

This response is being submitted in response to the Notice to File Missing Parts of Non-Provisional Application mailed June 21, 2001, and the Notice of Incomplete Reply mailed September 25, 2001. A copy of both notices are attached.

Enclosed herewith for filing in the above-identified application are copies of the following documents:

- 1) Copy of Notice To File Missing Parts of Non-Provisional Application mailed June 21, 2001 from the USPTO.
- 2) Copy of Notice of Incomplete Reply (Non-Provisional) mailed September 25, 2001 from the USPTO.
- 3) Substitute drawings in compliance with 37 CFR 1.84;
- 4) Request for Extension of Time Pursuant to 37 CFR 1.136(a); and
- 5) Check in the amount of \$110.00 (one month extension of time filing fee).

Respectfully submitted,

*Alana Kriegsman*

Alana Kriegsman  
Reg. No. 41,747

Date: 10-9-01  
Kilpatrick Stockton LLP  
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32887-257417  
WINLIB01:911736.1

09/843,392-100901



S.S.

EXPRESS MAIL CERTIFICATE

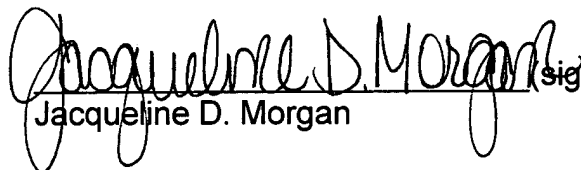
Express Mail" mailing label number: EL 894 955 690 US

Date of Deposit : October 9, 2001

Type of Document(s) : Response to Notice to File Missing Parts (1 page)  
Copy of Notice to File Missing Parts of Non-Provisional Application mailed June 21, 2001 from the USPTO (1 page);  
Copy of Notice of Incomplete Reply (Non-Provisional) mailed September 25, 2001 from the USPTO (1 page);  
Substitute drawings in compliance with 37 CFR 1.84 (3 sheets);  
Request for Extension of Time Pursuant to 37 CFR 1.136(a) (1 page and copy);  
Check No. 39306 in the amount of \$110.00 (one month extension of time filing fee); and  
Return Postcard

Serial No. : 09/843,392

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box: Missing Parts, Washington, D.C. 20231.

 (signature)  
Jacqueline D. Morgan